



# NOYES

SINCE 1908

## Customer Fee Schedule

Effective January 1, 2018

<b>Advantage Checking &amp; Debit Card Fees</b>	
Advantage Account - Basic (check writing only)	No annual fee
Advantage Account - Standard (debit card & check writing)	\$125 annual fee
<b>Advantage Check Orders-Individual</b> (Pass though of vendor delivery charges on options other than bulk mail)	
Initial order - standard wallet (40checks)	Free Service
Reorder - standard wallet (120 checks)	\$9 (plus applicable shipping & tax)
Initial order - standard duplicate (120 checks)	\$20 (plus applicable shipping & tax)
Reorder - standard duplicate (120 checks)	\$20 (plus applicable shipping & tax)
<b>Advantage Check Orders - Corporate</b> (Pass though of vendor delivery charges on options other than bulk mail)	
Initial order (Three to a page) 252 checks	\$151.09 (plus applicable shipping & tax)
Reorder (Three to a page) 252 checks	\$105.92 (plus applicable shipping & tax)
<b>Other Advantage Account Fees</b>	
Insufficient Funds	\$20
Stop Payment Order	\$15
Check Copies (3 free per month)	\$15 each
Check Research	\$5 each
Additional Debit Card	\$15 each
Rush Delivery of Debit Card	Pass through of vendor charges
<b>Annual IRA Custodian Retirement Account Fees (Wells Fargo Clearing Services)</b>	
IRA Custodian Fee – Annual Maintenance	\$75
IRA Custodian Fee – Termination Fee	\$105
<b>Annual Inactive Account Fees</b>	
Custodial Accounts (UTMA/UGMA)	\$60
All other Accounts (excluding IRAs, Advantage Standard with debit card, advisory, 529, DVP and QRP accounts)	\$75
<b>Miscellaneous Fees</b>	
Trade Handling	\$9.95
Bond Redemptions	Free Service
Alternative Investment	\$100 per position annually (\$600 max)
Regulatory Extension	\$10 filing fee plus margin rate on balance unpaid
Prepayment on Sale (payment prior to settlement date)	Margin Rate with \$15 minimum
Regulatory Trade Violations	\$10
Client Online Access to Account	Free Service
Online Bill Pay	Free Service (was \$7 per month)
Transfer on Death Application	Free Service
Stop Payment on checks issued by David A. Noyes	Free Service
Deposited Item Returned	\$10 per item
Historical Pricing for Estate Valuations	Greater of \$35 minimum or \$5 per CUSIP/position
Research or Document Retrieval	\$15 per hour (1 hour minimum)
Outside Investment Custodial Fee	\$115 annually

<b>Overnight Courier</b>	
Overnight Express Mail	Greater of \$15 minimum or cost of delivery
<b>Physical Securities Fees</b> (any additional transfer agent or depository fees are passed through at cost)	
Foreign Securities (order out)	\$500 per item
Physical Certificate Issuance (if possible)	\$500 per item
Physical Certificate Reject	\$50 per item
Replacement of Lost Physical Certificate (if possible)	\$100 + out-of-pocket charges
Customer Name Safekeeping	\$150 annually, if approved security.
	\$500 new positions, excluding restricted stock
<b>Reorganization Activity</b>	
Non-Physical	Free Service
<b>Transfer Fees</b> (any additional transfer agent or depository fees are passed through at cost)	
Account Transfer to another broker/dealer (ACAT)	\$105
Deposit/Withdrawal at Custodian (DWAC) Fee	\$150 outbound
Delivery of position through Direct Registration System	Free Service
Direct Registration Reject	\$75 per item
<b>Wire Transfer Funds</b>	
International	\$55 per wire
Domestic	\$35 per wire

David A. Noyes & Company may change this fee schedule at any time. In addition to these fees, please note that certain other operational or other fees or charges may apply. If you have any questions regarding the applicability of these fees, please contact your Wealth Advisor.

**David A. Noyes & Company**

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